

**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 5**

IN THE MATTER OF:

Ferrara Candy Company
7301 West Harrison Street
Forest Park, Illinois 60130

ATTENTION:

Craig Caswell
Environmental Engineer

Request to Provide Information Pursuant to the Clean Air Act

The U.S. Environmental Protection Agency is requiring Ferrara Candy Company (Ferrara or you) to submit certain information about your facility in Forest Park, Illinois. Appendix A provides the instructions needed to answer this information request, including instructions for electronic submissions. Appendix B specifies the information that you must submit. You must send this information to us according to the schedule in Appendix B.

We are issuing this information request under Section 114(a) of the Clean Air Act (the CAA), 42 U.S.C. § 7414(a). Section 114(a) authorizes the Administrator of EPA to require the sampling of emissions and submission of information. The Administrator has delegated this authority to the Director of the Air and Radiation Division, Region 5.

Ferrara owns and operates emission sources at the Forest Park, Illinois facility. We are requesting this information to determine whether your emission source is complying with the Illinois State Implementation Plan, the Clean Air Act Permit Program, and the Federally Enforceable State Operating Permit (FESOP) issued to Ferrara by the Illinois Environmental Protection Agency.

Ferrara must send all required information to:

Attn: Compliance Tracker, AE-17J
Air Enforcement and Compliance Assurance Branch
U.S. Environmental Protection Agency
Region 5
77 W. Jackson Boulevard
Chicago, Illinois 60604

Ferrara must submit all required information under an authorized signature with the following certification:

I certify under penalty of law that I have examined and am familiar with the information in the enclosed documents, including all attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are, to the best of my knowledge and belief, true and complete. I am aware that there are significant penalties for knowingly submitting false statements and information, including the possibility of fines or imprisonment pursuant to Section 113(c)(2) of the Clean Air Act and 18 U.S.C. §§ 1001 and 1341.

As explained more fully in Appendix C, you may assert a claim of business confidentiality under 40 C.F.R. Part 2, Subpart B for any part of the information you submit to us. Information subject to a business confidentiality claim is available to the public only to the extent, and by means of the procedures, set forth at 40 C.F.R. Part 2, Subpart B. If you do not assert a business confidentiality claim when you submit the information, EPA may make this information available to the public without further notice. You should be aware, moreover, that pursuant to Section 114(c) of the CAA and 40 C.F.R. § 2.301(a) and (f), emissions data, standards and limitations are not entitled to confidential treatment and shall be made available to the public notwithstanding any assertion of a business confidentiality claim. Appendix C provides additional information regarding the meaning and scope of the term “emissions data.”

This information request is not subject to the Paperwork Reduction Act, 44 U.S.C. § 3501 *et seq.*, because it seeks collection of information from specific individuals or entities as part of an administrative action or investigation.

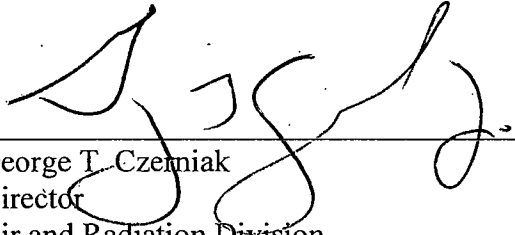
We may use any information submitted in response to this request in an administrative, civil or criminal action.

Failure to comply fully with this information request may subject Ferrara to an enforcement action under Section 113 of the CAA, 42 U.S.C. § 7413.

You should direct any questions about this information request to Jennifer Wilson at (312) 353-3115.

Date

12/29/15


George T. Czerniak
Director
Air and Radiation Division

Appendix A

When providing the information requested in Appendix B, use the following instructions and definitions.

Instructions

1. Provide a separate narrative response to each question and subpart of a question set forth in Appendix B.
2. Precede each answer with the number of the question to which it corresponds and at the end of each answer, provide the name, title, and employer of the person(s) who provided information used or considered in responding to that question, as well as each person consulted in the preparation of that response.
3. Indicate on each document produced, or in some other reasonable manner, the number of the question to which it corresponds.
4. When a response is provided in the form of a number, specify the units of measure of the number in a precise manner.
5. Where information or documents necessary for a response are neither in your possession nor available to you, indicate in your response why the information or documents are not available or in your possession, and identify any source that either possesses or is likely to possess the documents or information.
6. If information not known or not available to you as of the date of submission later becomes known or available to you, you must supplement your response. Moreover, should you find at any time after the submission of your response that any portion of the submitted information is false or incorrect, you must notify EPA as soon as possible.

Electronic Submissions

To aid in our electronic recordkeeping efforts, we request that you provide all documents responsive to this information request in an electronic format according to paragraphs 1 through 6, below. These submissions are in lieu of hard copy.

1. Provide all responsive documents in Portable Document Format (PDF) or similar format, unless otherwise requested in specific questions. If the PDFs are scanned images, perform at least Optical Character Recognition (OCR) for "image over text" to allow the document to be searchable. Submitters providing secured PDFs should also provide unsecured versions for EPA use in repurposing text.
2. When specific questions request data in electronic spreadsheet form, provide the data and corresponding information in editable Excel or Lotus format, and not in image format. If Excel or Lotus formats are not available, then the format should

allow for data to be used in calculations by a standard spreadsheet program such as Excel or Lotus.

3. Provide submission on physical media such as compact disk, flash drive or other similar item.
4. Provide a table of contents for each compact disk or flash drive containing electronic documents submitted in response to our request so that each document can be accurately identified in relation to your response to a specific question. *We recommend the use of electronic file folders organized by question number.* In addition, each compact disk or flash drive should be labeled appropriately (e.g., Company Name, Disk 1 of 4 for Information Request Response, Date of Response).
5. Documents claimed as confidential business information (CBI) must be submitted on separate disks/drives apart from the non-confidential information. This will facilitate appropriate records management and appropriate handling and protection of the CBI. Please follow the instructions in Appendix C for designating information as CBI.
6. Certify that the attached files have been scanned for viruses and indicate what program was used.

Definitions

All terms used in this information request have their ordinary meaning unless such terms are defined in the CAA, 42 U.S.C. §§ 7401 *et seq.*

1. The terms “document” and “documents” shall mean any object that records, stores, or presents information, and includes writings, email, memoranda, records, or information of any kind, formal or informal, whether wholly or partially handwritten or typed, whether in computer format, memory, or storage device, or in hardcopy, including any form or format of these. If in computer format or memory, each such document shall be provided in translation to a form useable and readable by EPA, with all necessary documentation and support. All documents in hard copy should also include attachments to or enclosures with any documents.
2. The terms “relate to” or “pertain to” (or any form thereof) shall mean constituting, reflecting, representing, supporting, contradicting, referring to, stating, describing, recording, noting, embodying, containing, mentioning, studying, analyzing, discussing, evaluating or relevant to.
3. The term “VOM” or “volatile organic material” (also known as “volatile organic compound” or “VOC”) means any compound of carbon, excluding carbon monoxide, carbon dioxide, carbonic acid, metallic carbides or carbonates, and ammonium carbonate, that participates in atmospheric photochemical reactions, as defined in 35 IAC 211.7150.

Appendix B

Information You Are Required to Submit to EPA

Ferrara Candy Company (Ferrara) must submit the following information about its Facility located at 7301 West Harrison Street, Forest Park, Illinois (the Facility) pursuant to Section 114(a) of the CAA, 42 U.S.C. § 7414(a) in accordance with the schedule specified below:

1. Within 30 days of receipt of this request, please provide the following information:
 - A. The date the catalytic oxidizer was installed and the date it started operating;
 - B. An explanation of the process units that vent to the oxidizer;
 - C. A list of all chemicals used at the processes that vent to the oxidizer since October 1, 2013;
 - D. Operating records for the Facility since October 1, 2013;
 - E. Charts for the oxidizer from: (1) October 1, 2013 to March 17, 2015 and (2) October 17, 2015 to the date of this information request;
 - F. Material safety data sheets for all chemicals that have vented to the oxidizer since October 1, 2013; and
 - G. Copies of any stack tests or capture efficiency tests performed at the Facility since three years before the catalytic oxidizer was installed to the present. Of these tests, please specifically point out the test that determined the operating temperature of the catalytic oxidizer.

Ferrara shall conduct VOC emission testing, submit an emission test protocol, and submit all other information requested pursuant to Section 114 of the Act, 42 U.S.C. § 7414, within the schedules provided below for the Facility.

Test Protocol and Notification:

2. Within 30 calendar days from the receipt of this request, submit a test protocol for VOC emission testing as required in Paragraph 4 below. The test protocol shall, at a minimum, contain information outlined in Attachment 1 to this Appendix. EPA shall approve the test protocol in writing prior to implementation.
3. At least 21 days prior to the planned test date(s), submit an intent to test notification for the emission testing. A shorter timeframe may be approved by EPA.

Emission Testing and Test Report:

4. Within 45 days after receipt of EPA's approval of the test protocol, Ferrara shall conduct emission testing for VOCs on all processing equipment identified as VOC emission sources. Ferrara shall commence the tests in accordance with the approved test protocols submitted by Ferrara required above in paragraph 2.
5. Ferrara shall perform the tests according to the following specifications:

- a) The tests shall each consist of three one-hour runs, unless otherwise specified in the test method.
 - b) The tests shall be conducted while the plant is operating at representative operating conditions. Representative operating conditions shall be defined and explained in the test protocol, and is the set of conditions that represents what the plant usually runs at. If calculations are performed to determine representative operating conditions, please include the calculations with the test protocol.
 - c) Please run the catalytic oxidizer at the temperature you believe is appropriate and please provide a justification for that temperature.
6. Ferrara shall conduct the emission tests, as appropriate, in accordance with the following EPA Reference Methods:
- a) 40 C.F.R. Part 60, Appendix A-1 – A-3, Methods 1 through 4 to determine stack gas characteristics;
 - b) 40 CFR Part 60, Appendix A-7, Method 25A to determine VOC emissions; and
 - c) 40 C.F.R. Part 51, Appendix M, Method 204E for capturing all fugitive emissions to accurately determine total emissions for VOC.
7. Ferrara shall submit a test report containing the results of all stack test runs, including any partial runs, 45 days after the completion of the test. The report shall include, at a minimum, the information outlined in Attachment 2 to this Appendix.

Ferrara shall document any maintenance that has been performed since EPA's inspection on October 23, 2015 that might have changed the representative operating conditions of the equipment to be tested in the test report required in Attachment 2 to this Appendix. Also, Ferrara shall document any maintenance that has been performed on the catalytic oxidizer since EPA's inspection on October 23, 2015 in the test report.

Attachment 1

Minimum Content of Test Protocol

General information:

- 1) Name and address of emission facility;
- 2) Name, title, telephone number, e-mail address and facsimile number of contact person at emission facility;
- 3) Schematic drawing(s) of stack(s), temporary enclosures, permanent enclosures, and sample ports;
- 4) Address of the facility;
- 5) Name, contact person, telephone number, e-mail address and facsimile number for testing company contracted to conduct the test; and
- 6) A process diagram(s) describing all pieces of equipment and bypasses. Diagram should include the flow of material through each process from raw material to finished product and should have all air pollution emission points identified by name.

Operating conditions:

- 1) List of the process or operating rate and conditions of the process equipment and air pollution control equipment for the test;
- 2) List of the range of process or operating rates for each emissions unit; and
- 3) Description of how air pollution control and process equipment will be monitored.

Methods:

- 1) List of the methods to be used to determine and demonstrate the accurate emission rate of each pollutant;
- 2) Number of test runs, length of test run, and sampling rate for each method;
- 3) Summary of reasons for proposing to use any alternative or equivalent method; and
- 4) Description and explanation of what representative operating scenario is for Ferrara Candy Company for each pollutant. Provide documentation and supporting evidence.

Attachment 2

Minimum Content of the Test Report (as applicable)

Cover Page:

- 1) Name and location of the emission facility;
- 2) Identification of emissions unit(s) tested;
- 3) Date of the performance test; and
- 4) Name and address of testing company or agency.

Test Information:

- 1) Test location, type of process;
- 2) Test dates;
- 3) Pollutants tested; and
- 4) Any other important background information.

Summary Page:

- 1) Emission results, expressed in pounds per hour;
- 2) Production rates during the tests;
- 3) Description of collected samples;
- 4) A discussion of any deviations from the reference test methods; and
- 5) Discussion of errors, both real and apparent.

Operating parameters:

- 1) A description of the process and control equipment in operation;
- 2) Information on the operating parameters of the Facility's candy making equipment and any other equipment being vented to the catalytic oxidizer at the time of the test;
- 3) A description of the emission unit and control device operating parameters and data, demonstrating that during the testing each process is operating at maximum production rates and under representative conditions. Production rates and Material Safety Data Sheets must be specified and included; and
- 4) Any other information pertinent to the stack test and understanding of the operations during testing.

Maintenance: description of all maintenance and operational inspections conducted between date of testing request and date of the test, including any major cleaning operations and replacement, repair, or modification of functional components of process or control equipment.

Sampling and analysis procedures:

- 1) Sampling port location and dimensioned cross section, showing all flow disturbances including elbows, dampers, fans, constrictions, and collection equipment;
- 2) Description of sampling point including any labeling systems use;
- 3) Description of sampling train;

- 4) Brief description of sampling procedures and analytical methods, with discussion of deviations from standard methods and calibration of analytical equipment, including a statement of source methods used, but not including complete copies of reference methods in the report; and
- 5) A description of the quality control/ quality assurance procedures, tests and results.

Appendix:

- 1) Complete results, including any fuel analysis, with example calculations, showing equations used and actual results in equation form on same or adjacent pages, using applicable equations shown in the reference method;
- 2) Copies of any and all raw field data;
- 3) Laboratory report with signed chain of custody forms;
- 4) Raw production data, signed by facility official who can interpret the data and can be held accountable for the data;
- 5) Test log;
- 6) Calibration procedures and results, including, but not limited to, Pitot tube, nozzle, meter box, thermometer, and barometer calibrations;
- 7) Names and titles of all employees, agents and contractors who participated in emission testing; and

Additional information:

- 1) Any other special requirement of the test method, test plan, applicable requirement, or compliance document; and
- 2) Any other information necessary to evaluate compliance.

Appendix C

Confidential Business and Personal Privacy Information

Assertion Requirements

You may assert a business confidentiality claim covering any parts of the information requested in the attached Appendix B, as provided in 40 C.F.R. § 2.203(b).

Emission data provided under Section 114 of the CAA, 42 U.S.C. § 7414, is not entitled to confidential treatment under 40 C.F.R. Part 2.

“Emission data” means, with reference to any source of emissions of any substance into the air:

Information necessary to determine the identity, amount, frequency, concentration or other characteristics (to the extent related to air quality) of any emission which has been emitted by the source (or of any pollutant resulting from any emission by the source), or any combination of the foregoing;

Information necessary to determine the identity, amount, frequency, concentration or other characteristics (to the extent related to air quality) of the emissions which, under an applicable standard or limitation, the source was authorized to emit (including to the extent necessary for such purposes, a description of the manner and rate of operation of the source); and

A general description of the location and/or nature of the source to the extent necessary to identify the source and to distinguish it from other sources (including, to the extent necessary for such purposes, a description of the device, installation, or operation constituting the source).

40 C.F.R. § 2.301(a)(2)(i)(A),(B) and (C).

To make a confidentiality claim, submit the requested information and indicate that you are making a claim of confidentiality. Any document for which you make a claim of confidentiality should be marked by attaching a cover sheet stamped or typed with a caption or other suitable form of notice to indicate the intent to claim confidentiality. The stamped or typed caption or other suitable form of notice should employ language such as “trade secret” or “proprietary” or “company confidential” and indicate a date, if any, when the information should no longer be treated as confidential. Information covered by such a claim will be disclosed by EPA only to the extent permitted and by means of the procedures set forth at Section 114(c) of the CAA and 40 C.F.R. Part 2. Allegedly confidential portions of otherwise non-confidential documents should be clearly identified. EPA will construe the failure to furnish a confidentiality claim with your response to the Request to Provide Information as a waiver of that claim, and the information may be made available to the public without further notice to you.

Determining Whether the Information is Entitled to Confidential Treatment

All confidentiality claims are subject to EPA verification and must be made in accordance with 40 C.F.R. § 2.208, which provides in part that you must satisfactorily show that you have taken reasonable measures to protect the confidentiality of the information and that you intend to continue to do so; that the information is not and has not been reasonably obtainable by legitimate means without your consent and that disclosure of the information is likely to cause substantial harm to your business's competitive position.

Pursuant to 40 C.F.R. Part 2, Subpart B, EPA may at any time send you a letter asking that you support your confidential business information (CBI) claim. If you receive such a letter, you must respond within the number of days specified by EPA. Failure to submit your comments within that time would be regarded as a waiver of your confidentiality claim or claims, and EPA may release the information. If you receive such a letter, EPA will ask you to specify which portions of the information you consider confidential **by page, paragraph, and sentence**. Any information not specifically identified as subject to a confidentiality claim may be disclosed to the requestor without further notice to you. For each item or class of information that you identify as being CBI, EPA will ask that you answer the following questions, giving as much detail as possible:

1. For what period of time do you request that the information be maintained as confidential, e.g., until a certain date, until the occurrence of a special event, or permanently? If the occurrence of a specific event will eliminate the need for confidentiality, please specify that event.
2. Information submitted to EPA becomes stale over time. Why should the information you claim as confidential be protected for the time period specified in your answer to question number 1?
3. What measures have you taken to protect the information claimed as confidential? Have you disclosed the information to anyone other than a governmental body or someone who is bound by an agreement not to disclose the information further? If so, why should the information still be considered confidential?
4. Is the information contained in any publicly available databases, promotional publications, annual reports or articles? Is there any means by which a member of the public could obtain access to the information? Is the information of a kind that you would customarily not release to the public?
5. Has any governmental body made a determination as to confidentiality of the information? If so, please attach a copy of the determination.
6. For each category of information claimed as confidential, **explain with specificity** why release of the information is likely to cause substantial harm to your competitive position. Explain the specific nature of those harmful effects, why they should be viewed as substantial and the causal relationship between disclosure and such harmful effects. How could your competitors make use of this information to your detriment?

7. Do you assert that the information is submitted on a voluntary or a mandatory basis? Please explain the reason for your assertion. If you assert that the information is voluntarily submitted information, explain whether and why disclosure of the information would tend to lessen the availability to EPA of similar information in the future.
8. Is there any other information you deem relevant to EPA's determination regarding your claim of business confidentiality?

If you receive a request for a substantiation letter from the EPA, **you bear the burden of substantiating your confidentiality claim.** Conclusory allegations will be given little or no weight in the determination. In substantiating your CBI claim(s), you must bracket all text so claimed and mark it "CBI." Information so designated will be disclosed by EPA only to the extent allowed by and by means of the procedures set forth in 40 C.F.R. Part 2, Subpart B. If you fail to claim the information as confidential, it may be made available to the public without further notice to you.

Personal Privacy Information

Please segregate any personnel, medical and similar files from your responses and include that information on a separate sheet(s) marked as "Personal Privacy Information." Disclosure of such information to the general public may constitute an invasion of privacy.

CERTIFICATE OF MAILING

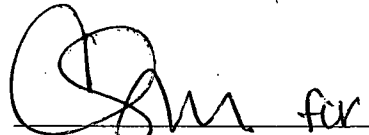
I, Kathy Jones, certify that I sent a Request to Provide Information Pursuant to the Clean
Air Act by Certified Mail, Return Receipt Requested, to:

Craig Caswell,
Environmental Engineer
Ferrara Candy Company
7301 West Harrison
Forest Park, Illinois 60130

I also certify that I sent a copy of the Request to Provide Information Pursuant to the
Clean Air Act by First-Class Mail to:

Ray Pilapil, Manager
Bureau of Air
Compliance and Enforcement Section
Illinois Environmental Protection Agency
1021 North Grand Avenue East
Springfield, IL 62702

On the 29 day of December 2015.



Kathy Jones
Program Technician
AECAB, PAS

CERTIFIED MAIL RECEIPT NUMBER: 7014 2870 0001 9581 4663